



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/988,401	11/19/2001	Hejiro Ojima	019970-005

CONFIRMATION NO. 8269

FORMALITIES LETTER



\*OC00000007138511\*

Platon N. Mandros  
 BURNS, DOANE, SWECKER & MATHIS, L.L.P.  
 P.O. Box 1404  
 Alexandria, VA 22313-1404

Date Mailed: 11/29/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 870.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/04/2002 SSITHIB1 00000168 09988401

01 FC:101  
 02 FC:105

740.00 OP  
 130.00 OP



Section 43  
13

Patent  
Attorney's Docket No. 019970-005

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of	)	
	)	
Heijiro OJIMA et al.	)	Group Art Unit: 1714
	)	
Application No.: 09/988,401	)	Examiner: Unassigned
	)	
Filed: November 19, 2001	)	
	)	
For: WATER-BASED LUBRICANT	)	
CONTAINING SULFUR AS A	)	
COORDINATE ATOM, AND USE	)	
THEREOF	)	

**TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION**

**BOX: MISSING PART**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In complete response to the Notice to File Missing Parts of Application Under 37 C.F.R. § 1.53(b) dated November 29, 2001, enclosed please find:

a Combined Declaration and Power of Attorney signed by the inventor(s) and the surcharge of  \$65.00 (205)  \$130.00 (105) as set forth in 37 C.F.R.

§ 1.16(e);

Note that the inventor(s) identified on the currently filed Combined Declaration and Power of Attorney are different from those listed on the application filing papers.

a Request for Refund;

a Petition for Extension of Time;

a verified English translation of the Application, and the \$130.00 (139) fee as set forth in 37 C.F.R. § 1.17(k);

an Assignment document and a separate check for the \$40.00 (581) Assignment recordation fee;

drawings for publication;

other a check in the amount of \$740.00 for the basic filing fee;

a check in the amount of \$ 130.00 for the fee due for missing parts; and

charge \$ \_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due for missing parts.

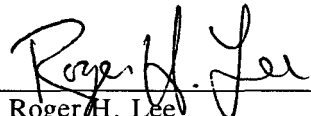
Small entity status is hereby claimed.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

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By:   
\_\_\_\_\_  
Roger H. Lee  
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Date: February 28, 2002